

CABINET MEMBER FOR ADULT, SOCIAL CARE AND HEALTH

**Venue: Town Hall, Moorgate
Street, Rotherham.**

Date: Monday, 9 February 2009

Time: 10.00 a.m.

A G E N D A

1. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972.
2. To determine any item which the Chairman is of the opinion should be considered as a matter of urgency.
3. Apologies for Absence.
4. Minutes of the previous meeting held on 26 January 2009 (Pages 1 - 2)
5. Minutes of a meeting of the Contracting for Care Forum held on 14th January, 2009 (Pages 3 - 7)
6. Petition - Care and Support at Home (Page 8)
7. Adult Services Capital Budget Monitoring Report 2008/09 (copy herewith) (Pages 9 - 13)
8. **EXCLUSION OF THE PRESS AND PUBLIC**
The following items are likely to be considered in the absence of the press and public as being exempt under those paragraphs listed below of Part 1 of Schedule 12A to the Local Government Act 1972.
9. Social Services (Complaints) Panel (Pages 14 - 18)
(Exempt under Paragraph 2 of the Act – information which is likely to reveal the identity of an individual)
10. Non Residential Social Care Charges (copy herewith) (Pages 19 - 21)
(Exempt under Paragraph 3 of the Act – information relating to the financial or business affairs of any particular person (including the Council))
11. Date and time of next meeting:- 23 February 2009

CABINET MEMBER FOR ADULT, SOCIAL CARE AND HEALTH
Monday, 26th January, 2009

Present:- Councillor Kirk (in the Chair); Councillors Gosling, P. A. Russell and Jack.

89. MINUTES OF THE PREVIOUS MEETING HELD ON 12TH JANUARY, 2009

Resolved:- That the minutes of the meeting held on 12th January, 2009, be approved as a correct record.

90. CONFERENCE

Consideration was given to attendance at the "Asperger Syndrome in Adults – Beyond Diagnosis" conference which was to be held on Thursday 26th March, 2009.

Resolved:- That approval be given for Councillor Hilda Jack to attend the conference.

(THE CHAIRMAN AUTHORISED CONSIDERATION OF THE FOLLOWING ITEM TO KEEP MEMBERS FULLY INFORMED)

91. ADULT SERVICES REVENUE BUDGET MONITORING REPORT 2008/09

Mark Scarrott, Finance Manager (Adult Services) presented the submitted report which provided a financial forecast for the Adult Services Department within Neighbourhoods and Adult Services Directorate to the end of March 2009 based on actual income and expenditure to the end of December 2008 and forecast costs and income to 31 March 2009.

The approved net budget was £68.5m which included the funding for demographic and existing budget pressures together with a number of efficiency savings identified through the 2008/09 budget setting process.

During the year there had been a number of budget pressures, mainly in respect of the delays in implementing shifting the balance of home care from in-house to the independent sector. This had been as a result of the Council taking the decision to undertake a further round of consultation with Trade Unions and employees. Cabinet approved a revised estimate for the service of £1m on 21 January 2009 and the latest report now showed a projected balanced budget by the end of the financial year, assuming the completion of shifting the balance to 65/35 was achieved.

There still remained underlying budget pressures within residential care within physical and sensory disabilities due to an increase in demand and the average cost of care packages, increased demand and cost of direct

payments and increased energy costs.

These pressures were being offset by additional income from continuing health and care funding, slippage on developing supported living schemes within learning and disabilities and management actions identified from budget performance clinics.

The overall forecast outturn also included the impact of the delays in finalising the construction on the two new residential care homes. The decommissioning of the five residential care home was now scheduled to commence at the end of January. Any delays beyond that would impact on current financial projections and would be reported as soon as they were identified.

Budget clinics continued to take place with Service Directors and managers on a monthly basis to monitor financial performance against approved budget and to consider further options for managing expenditure within budget.

Resolved:- That the forecast balanced outturn against the revised budget for 2008/09 be noted.

92. EXCLUSION OF THE PRESS AND PUBLIC

Resolved:- That, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972, as amended.

93. FEE SETTING – INDEPENDENT SECTOR RESIDENTIAL AND NURSING CARE 2009/2010

Kim Curry, Director of Commissioning and Partnerships presented the submitted report which sought the agreement of Elected Members to the increase in fees to Independent Sector Residential and Nursing Care Providers for 2009/2010 in accordance with the established inflation formula.

Resolved:- That the report be received and the fee increases for residential and nursing care homes, as set out in paragraph 7 of the report be agreed and become effective from the 5th April, 2009.

94. DATE AND TIME OF NEXT MEETING - 9 FEBRUARY 2009

Resolved:- That the next meeting be held on Monday 9 February 2009 commencing at 10.00 am.

WEDNESDAY, 14TH JANUARY, 2009

Present:- Councillor Maurice Kirk (in the Chair); Councillor Jack

Officers:-

Mr. T. Gollins, Acting Strategic Commissioning Manager
Mr. A. Hare, Commissioning Manager .

Apologies for absence were received from:-

Amanda Coyne (NAS Policy and Strategy Officer), Elizabeth Bent (Voluntary Sector Providers (Others)), Denise McDougall and Anne Salteld (Head of Clinical Services).

10. MINUTES OF PREVIOUS MEETING HELD ON 15TH OCTOBER, 2008

Resolved:- That, with the exception of the addition of apologies from Councillor Jack, the minutes of the previous meeting held on 15th October, 2008 be approved as a correct record.

11. MATTERS ARISING

Home from Home Scheme

A discussion took place on the most appropriate meeting date to present the above report to the Adult Services and Health Scrutiny Panel.

Councillor Jack suggested Thursday, 5th March, 2009.

Resolved:- That Tim Gollins liaise with Delia Watts, Scrutiny Adviser.

12. DOMICILIARY CARE ACTIVITY REPORT

Andy Hare, Commissioning Manager presented the submitted report which gave information on domiciliary care activity for the period September to December 2008.

The total number of service users being supported by domiciliary care providers continues to remain fairly constant over the past 6 months, varying by around +/-30 out of a total of 1894.

The number of service users receiving independent sector care has for the first time exceeded those receiving in-house home care.

The split between in-house and independent providers in terms of **actual hours** being delivered by each sector in the last week for which figures are available (7/12/08) is:

In-house: 47%
Independent 53%

It is still anticipated that the figures will move towards a 65%:35% split in favour of the independent sector by April 2009.

The report showed a visual representation of the movement toward the independent sector.

As part of the *Shifting the Balance* project, the in-house home care service continues to take “enabling” cases only. These are short term packages designed to maximise service users’ independence and minimise their dependency on services. “Maintenance” packages (i.e. people who are not appropriate for enabling or who have already been through an enabling package), are now all being procured in the independent sector.

Figures covering the past 3 months show that overall 77% of new starters have been placed with the independent sector. This is unchanged from the previous report.

The block contracts with the independent sector are still being fully utilised. In fact as the number of hours in the independent sector increases, more work is being done on “spot” contracts. At present the spot work amounts to 40% of the total hours in the independent sector. This trend is expected to continue throughout the remainder of this financial year and beyond.

Resolved:- That the information provided on the commissioned domiciliary care for the period between October and December be noted.

13. PERSONALISATION STRATEGY UPDATE

Tim Gollins, Acting Strategic Commissioning Manager, gave an update on the current position with regard to the Personalisation Strategy.

The Strategy was now in draft form and a Visioning Event had been completed.

A list of personalisation sub-groups was provided at the meeting, for information purposes.

A discussion ensued on the issue of training, in particular for Elected Members and Social Workers.

Resolved:- (1) That the verbal update report be noted.

(2) That a report on the Personalisation Strategy be submitted to the next meeting.

14. DAY CARE REVIEW

Andy Hare, Commissioning Manager, gave a verbal update on progress with the three yearly review of Day Care Services.

Contracts issued in 2006 were approaching expiry in March, 2009.

The main focus of the Review was to assess the performance of providers for scope being offered, day care and the Sensory Loss Service, and looking at their relevance against current strategies and initiatives.

Following the Review, a report would be prepared on Contracting Policies in terms of how to move forward from a "block model" to a model where people will purchase individual care from individual budgets.

Resolved:- That the verbal report be noted.

15. VCS REVIEW

Andy Hare, Commissioning Manager, gave a verbal update on the progress of the Voluntary Community Sector Review.

The Review, which was presently in its early stages, was focussing on Day Care, the Advocacy Service and Prices Information.

At the conclusion of the exercise, a Review report would be prepared.

Resolved:- That the verbal update be noted.

16. PREVENTION STRATEGY

Tim Gollins, Acting Strategic Commissioning Manager, gave a verbal update on progress with the compilation of a Prevention Strategy and its delivery across the whole service.

The aim of the Strategy is to deliver a service that moves away from the need to respond to acute care and high or substantial needs, to helping and supporting people to live in the community.

A discussion ensued on the issue of safeguarding, in addition to addressing prevention, and how safeguarding can prevent a condition deteriorating.

Resolved:- That the verbal report be noted.

17. HOME FROM HOME

Tim Gollins, Acting Strategic Commissioning Manager, gave a verbal update on the Home from Home Scheme, an introduction of a phased Quality Premium Scheme to help people who move into residential care to live as they would at home.

Two pilot schemes had been conducted at Melton Court and Layden Court on:-

- (a) Service Quality Inspection
- (b) More "traditional" Contract Review
- (c) NHS Rotherham looking at Health Care Needs and how those needs are addressed in residential Nursing Care

NHS Rotherham had now appointed a Contracting Reviewing Officer and a meeting was to take place shortly to begin the assessment process.

Both pilot schemes had progressed very well and had been completed on time. Further Reviews would take place in eighteen months which would give an average score.

One pilot in particular had raised safeguarding issues and Action Plans were in place to monitor progress at both Homes.

Both pilot Homes had accepted the recommendations of the pilot schemes.

The next pilot to commence was at Swinton Lodge.

Resolved:- That the verbal update report be noted.

18. SAFEGUARDING

Tim Gollins, Acting Strategic Commissioning Manager, gave a verbal update on progress with regard to the setting up of a new Safeguarding Team in Adult Services.

The team consisted of eleven new Social Workers whose job it was to deliver a safeguarding service for adults in Rotherham.

Part of the plan is to improve the quality of case management and to put in place strong effective leadership.

The Safeguarding Team will provide a responsive high quality and consistent approach to dealing effectively with all adult safeguarding referrals.

From a safeguarding perspective, Residential and Nursing Homes had been asked to provide a list of their safeguarding policies. The analysis of these policies was provided at the meeting.

A letter is being sent to Providers with a set of instructions on how they have to improve their safeguarding policies. Clearly it is poor practice if Homes do not have a policy to share as part of an Induction process.

Resolved:- (1) That the verbal report be noted.

(b) That Tim Gollins liaise with the person responsible for the distribution of the AS One magazine, to request that a hard copy of each publication be sent to the Cabinet Member and Advisors, Adult Social Care and Health.

(c) That Tim Gollins schedule in as soon as possible, as part of the Monthly Visits of Inspection for Members, a visit to the new Adult Safeguarding Team, Crinoline House.

19. ANY OTHER BUSINESS

(a) Occupancy Levels – Older People’s Residential Care

Tim Gollins, Acting Strategic Commissioning Manager presented the submitted quarterly update report setting out details of the occupancy/vacancy levels in residential and nursing care homes for older people.

The average occupancy level is currently 93% compared with 95% in the previous report. There is sufficient capacity available to meet current commissioning requirements.

Occupancy levels in the Independent Sector have reduced slightly due to temporary placement restrictions being in place.

It was noted that the average occupancy level in Local Authority homes has increased since the last report to 74% (72%). The low occupancy is a short term impact during the latter stages of the reconfiguration of in house services.

Resolved:- That the contents of the report be noted.

(b) Rockingham House

The Chairman requested an update on the current situation and future plans with regard to Rockingham House Residential Home.

Resolved:- That the matter be investigated and a response submitted to the Chairman, Councillor Kirk.

20. DATE AND TIME OF NEXT MEETING

Resolved:- That the next meeting take place on Wednesday, 15th April, 2009 at 1.00 p.m.

To: **Cabinet Member**
Adult Social Care and Health

9th February, 2009

PETITIONS

1. Care and Support at Home

A petition has been received, containing 26 signatures, regarding the planned changes to the care and support at Oak Trees.

A copy of the petition will be available at the meeting and has also been supplied to the Ward Members.

L. E. SOUTH,
Democratic Services Manager.

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS

1.	Meeting:	Cabinet Member for Adult Social Care and Health
2.	Date:	Monday 9 February 2009
3.	Title:	Adult Services Capital Budget Monitoring Report 2008/09 - All Wards affected
4.	Directorate:	Neighbourhoods and Adult Services

5. Summary

To inform members of the anticipated outturn against the approved Adult Services capital programme for the 2008/09 financial year.

6. Recommendations

Members receive and note the Adult Services forecast capital outturn for 2008/09.

7. Proposals and Details

This capital monitoring report provides detail of the approved capital programme for the Adult Services department of the Neighbourhoods and Adult Services Directorate, actual expenditure for the period 1st April 2008 to the 16 January 2009 and the projected final outturn position for each scheme.

Actual expenditure to the mid January 2009 was £7.8m against an approved programme of £9.8m. Since the last report there has been slippage on a number of capital schemes within Mental Health and Learning Disabilities. The latest forecast expenditure to the end of March is now £9.1m. The approved schemes are funded from a variety of different funding sources including, unsupported borrowing, allocations from the capital receipts, Supported Capital Expenditure and specific capital grant funding. Appendix 1 shows actual expenditure to date against the approved budget together with the projected outturn position.

8. Finance

The following information provides a brief summary of the latest position on the main projects within each client group.

Older People

The construction of the two new residential care homes is now completed. The timetable for full decommissioning of existing homes into the two new homes has been delayed and will now commence mid February 2009.

The Assistive Technology Grant (which includes funding from NHS Rotherham) is being managed jointly and is being used to purchase Telehealth and Telecare equipment to enable people to live in their own homes. The procurement of equipment has now commenced which includes lifeline connect alarms, low temperature sensors and fall detectors within peoples homes. It is now anticipated that expenditure will be incurred in 2009/10 and any balance of funding will be carried forward.

A small element of the Department of Health specific grant (£20k) issued in 2007/08 to improve the environment within residential care provision was carried forward into 2008/09. The balance of grant is being allocated across the independent residential care sector in accordance with the grant conditions and will be fully spent by the end of March 2009.

Learning Disabilities

The small balances of funding carried forward from 2007/08 are to be used for the equipment for Parkhill Lodge and within supported living schemes.

The refurbishment at Addison Day Centre, funded from the Council's Strategic Maintenance Investment fund is now complete.

There have been delays in the start of the refurbishment of the REACH Day centre due to insufficient funding, the scheme is now due to commence in late February 2009, therefore the majority of the expenditure will now be incurred in 2009/10.

Mental Health

A small balance remains on the Cedar House capital budget and will be used for the purchase of additional equipment. A large proportion of the Supported Capital Expenditure (SCE) allocation has been carried forward from previous years due to difficulties in finding suitable accommodation for the development of supported living schemes. Suitable properties continue to be identified and spending plans are being developed jointly with RDASH. It is now expected that this service will be commissioned in 2009/10 and will support the In-Patient re-Provision Exercise which is now at the formal planning stage. The possibility of funding equipment purchased for direct payments is also being considered to reduce the current pressures on the mental health revenue budgets. Further options are also being considered to provide more intensive supported living schemes with a range of providers and to fund a range of new assistive technologies for mental health clients, which will allow them to live in the community with access to 24 hour support.

Management Information

Part of the capital grant for Improving Management Information was carried forward into 2008/09. The funding has been earmarked to further develop Electronic Social Care Records within Health and Social Care working with the Council's strategic partner RBT and Children & Young People's Services. At the end of August 2008 the Department of Health announced a new capital grant for Adult Social Care IT infrastructure over the next three years (£276k). Spending plans continue to be developed with RBT to integrate social care information across both health and social care.

9. Risks and Uncertainties

The main risk relates to the potential overspends due to the increase in construction related costs over and above approved budgets. Also projects funded through Supported Capital Expenditure or capital grants where spending must be in accordance with certain spending conditions, in accordance with national priorities. Any shortfall in capital funding will delay implementation and may result in the Directorate not meeting national agendas and performance targets.

10. Policy and Performance Agenda Implications

The approved capital budget for 2008/09 allows Adult Services to invest and develop its assets to improve and maintain existing levels of service to support the most vulnerable people and continues to contribute to meeting the Council's key priorities.

11. Background Papers and Consultation

Department of Health Local Authority Social Services Letter LASSL(DH)(2007)3-Adult's Personal Social Services: Distribution of Single Capital Pot and Specific Capital Allocations in 2008-09, 2009-10 and 2010-11.

Department of Health Local Authority Circular (2008) 6 – Supported Capital Expenditure (Capital Grant) for Adult Social Care IT Infrastructure – 2008-09, 2009-10 and 2010-11.

This report has been discussed with the Strategic Director of Neighbourhoods and Adult Services and the Strategic Director of Finance.

Contact Name : Mark Scarrott, Finance Manager (Adult Services), Extension 2007, mark.scarrott@rotherham.gov.uk

CAPITAL EXPENDITURE MONITORING 2008-09

Directorate Adult Services
Monitoring Period : 1 April to 16 January 2009

Expenditure Code	Scheme description	Approved Capital PROGRAMME 2008/09 £	Actual Expenditure 01/04/08 - 16/01/09 £	Projected Expenditure to 31/03/2009 £	Scheme 2008/09 Funding Profile					RAG Status	Comment Note number	
					Supported Capital Expenditure (SCE) £	Specific Grant		Other Contributions				Unsupported Borrowing/Capital Receipts £
						£	Detail	£	Detail			
	Older People											
UXB149	Adult's Older Peoples Modernisation Strategy	8,298,964	7,512,473	8,298,964					8,298,964	G	1	
UXB150	Assistive Technology	232,352	75,255	165,000				232,352		A	2	
UXB151	Residential Care - Improving the Environment	20,560	11,805	20,560		20,560 DoH Grant				G	3	
	Learning Disabilities											
UXL128	Addison Day Centre/Parkhill Lodge	1,895	0	1,895					1,895	G	4	
UXL135	LDDF for Supported Living	8,548	0	0					8,548	G	5	
	Strategic Maintenance Investment Programme											
UXZ004	Addison Day Centre - Alterations	248,754	224,992	248,754					248,754	G	6	
UXZ005	Oaks Day Centre Alterations - Phase 2	2,720	0	2,720					2,720	G	7	
	REACH Day Centre	250,000	0	50,000					250,000	A	8	
	Mental Health											
UXH098	Cedar House	12,428	36	12,428					12,428	G	9	
UXH101	Supported Capital Expenditure	383,802	16,454	86,500					383,802	A	10	
UXH102	Mental Health Single Capital Pot	147,000	0	20,000	147,000					A	11	
	Management Information											
UXT002	Improving Information Management Grant	120,057	355	120,057		120,057 DoH Grant				G	12	
UXT003	Social Care IT Infrastructure Capital Grant	85,903	0	85,903		85,903 DoH Grant				G	13	
TOTALS		9,812,983	7,841,370	9,112,781	147,000	226,520		232,352	0		9,207,111	

Comments

- 1 Projected overspend on scheme and an additional funding now approved. Report to Regeneration and Asset Board on 22 October. Approved by The Cabinet on 29 October 2008.
- 2 Review of spending profile in respect of Telehealth and Telecare equipment. Health funding to be carried forward into 2009/10 in agreement with Health, equipment currently being procured through the DoH grant.
- 3 Department of Health Capital Grant balance carried forward from 2007/08
- 4 Balance of funding to be used for furniture and equipment at Parkhill Lodge.
- 5 Funding is earmarked for equipment within supported living schemes to be carried forward into 2009/10.
- 6 Scheme commenced March 2008 and is now completed, awaiting final account.
- 7 Scheme completed in March 2008, balance to meet the cost of any retension/fee costs.
- 8 Delays in commencing the scheme due to funding shortfall, estimated start date is February/March 2009.
- 9 Committed expenditure on providing support for early interventions and crisis move on.
- 10 Committed funding on developing new supported living schemes, estimated start date is now 2009/10 plus specialist equipment for Older People with mental health problems.
- 11 New Mental Health capital grant allocation to be used for one off direct payments and promoting assistive technology in 2009/10.
- 12 Department of Health Capital Grant balance carried forward from 2007/08 earmarked for further development of electronic social care records.
- 13 New Department of Health Supported Capital Expenditure (Capital Grant) announced 22 August 2008 - spending plans being developed with RBT.

By virtue of paragraph(s) 2 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

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